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STA	EMENT B	Y A	SCLOSURE APPLICANT	First Named Inventor	Mills			
•				Group Art Unit	1745			
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Sheet	1	of	35	Attorney Docket Number				

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for	form 1449A/PTO			Complete if Known			
				Application Number	09/362,693		
INFO	RMATION	DIS	CLOSURE	Filing Date	07/29/1999		
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Mills		
				Group Art Unit	1745		
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Substitute t	for form 1449A/PTO			Complete if Known			
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INF	ORMATION	DIS	SCLOSURE	Filing Date	07/29/1999		
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STATEMENT BY APPLICANT	First Named Inventor	Mills	
	Group Art Unit	1745	
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		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS						
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²					
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		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS				
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Substitute for form 1449B/F 10	Application Number	09/362,693	
INFORMATION DISCLOSURE	Filing Date	07/29/1999	
STATEMENT BY APPLICANT	First Named Inventor	Mills	
	Group Art Unit	1745	
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Sheet	32	of	3)	Attorney Docket Number		

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Examine r Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		26. Mills, "BlackLight Power Technology-A New Clean Hydrogen Energy Source with the Potential for Direct Conversion to Electricity," Proceedings of the National Hydrogen Association, 12 th Annual U.S. Hydrogen Meeting and Exposition, Hydrogen: The Common Thread. The Washington Hilton and Towers. Washington DC. (March 6-8, 2001), pp. 671–697.	
		25. Mills, et. al. "Minimum heat of formation of potassium iodo hydride." <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, pp. 1199-1208. (Internet Publication Date: March 23, 2001)	
	ļ	24. Mills, et al. "Stereoscopic Identification of a Novel Catalytic Reaction of Atomic Hydrogen and the hydride ion product." <i>International Journal of Hydrogen Energy</i> , Vol. 26. 2001. pp.1041-1058. (Internet Publication Date: March 23, 2001.)	
		23. Mills et al., "Optically Measured Power Balances of Glow Discharges of Mixtures of Argon, Hydrogen, and Potassium, Rubidium, Cesium, or Strontium Vapor," Int. J. Hydrogen Energy, Vol. 27, No. 6, (2002), pp. 651–670. (Internet Publication Date: July 20, 2001.)	
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		17. Mills, "The Nature of Free Electrons in Superfluid Helium—a Test of Quantum Mechanics and a Basis to Review its Foundations and Make a Comparison to Classical Theory," Int. J. Hydrogen Energy, Vol. 26, No. 10, (2001), pp. 1059–1096. (Internet Publication Date: Dec. 11, 2000.)	
Examiner Signature		Date . Considered	

Substitute for form 1449B/PTO	Complete if Known		
Cassillate for form 14455/176	Application Number	09/362,693	
INFORMATION DISCLOSURE	Filing Date	07/29/1999	
STATEMENT BY APPLICANT	First Named Inventor	Mills	
	Group Art Unit	1745	
(use as many sheets as necessary)	Examiner Name	Kalafut	
Sheet 33 of 37	Attorney Docket Number		

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS					
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LET) item (book, magazine, journal, serial, symposiur publisher, city and/	m, catalog, etc.), d	late, page(s), volume-issue number(s),	T ²
		16. Mills et al., "Excessively Bright Hydrogen-Strontium Plasma Light Source Due to Energy Resonance of Strontium with Hydrogen," J. of Plasma Physics, Vol. 69, (2003), pp. 131–158. (Internet Publication Date: Aug. 27, 2001.)			
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Examiner Signature			Date Considered		

Substitute for form 1449B/PTO	Complete if Known		
Gasanate is is in 14455/116	Application Number	09/362,693	
INFORMATION DISCLOSURE	Filing Date	07/29/1999	
STATEMENT BY APPLICANT	First Named Inventor	Mills	
	Group Art Unit	1745	
(use as many sheets as necessary)	Examiner Name	Kalafut	
Sheet 34 of 31	Attorney Docket Number		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS	T	
Examine r Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
		6. R. Mills, "Novel Hydrogen Compounds from a Potassium Carbonate Electrolytic Cell," Fusion Technology, Vol. 37, No. 2, March, (2000), pp. 157-182. (Internet Publication Date: June 26, 2000.)		
	i.	5. Mills, "The Hydrogen Atom Revisited," Int. J. of Hydrogen Energy, Vol. 25, Issue 12, December, (2000), pp. 1171–1183. (Internet Publication Date: June 27, 2000.)		
		4. Mills et al., "Fractional Quantum Energy Levels of Hydrogen," Fusion Technology, Vol. 28, No. 4, November, (1995), pp. 1697–1719. (Internet Publication Date: Nov. 1, 2001.)		
		3. Mills et al., "Dihydrino Molecule Identification," Fusion Technology, Vol. 25, 103-119 (Jan. 1994). (Internet Publication Date: April 11, 2001.).		
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		Mills, "Classical Quantum Mechanics." Physica Scripta, submitted.	,	
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Examiner Signature		Date Considered	1	

Substitute for form 1449B/PTO	Complete if Known		
Substitute for form 1443B/F TO	Application Number	09/362,693	
INFORMATION DISCLOSURE	Filing Date	07/29/1999	
STATEMENT BY APPLICANT	First Named Inventor	Mills	
	Group Art Unit	1745	
(use as many sheets as necessary)	Examiner Name	Kalafut	
Sheet 35 of 3)	Attorney Docket Number		

		OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS		
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		Mills,. "Author's response 'A possible trick of Hydride atom'," <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, p. 1225.	ē	
		Mills, "BLACKLIGHT POWER TECHNOLOGY: A New Clean Energy Source with the Potential for Direct Conversion to Electricity," <i>International Conference on Global Warming and Energy Policy</i> , Ft. Lauderdale, Florida, November 26-28, 2000. Internet Publication 1/19/01		
		Mills, "Hydro catalysis Power Technology," Statement of Dr. Randell L. Mills, May, 1993.		
		Mills, "The Grand Unified Theory of Classical Quantum Mechanics," pp. 1-9		
·		Mills, "Unification of Spacetime, the Forces, Matter, Energy, Hydro catalysis Power Corporation," 1992, pp. 53-84.		
:		Mills, "Author's response to 'Hydrino atom: novel chemistry or invalid physics'," International Journal of Hydrogen Energy, Vol 26, 2001, pp. 1233.		
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		Mills."Power Spectrum of the Cosmic Microwave Background" BlackLight Power, Inc. 2001.		
Examiner Signature		- Date Considered		

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	· . [Cash	1	
PABEMAN			Compensation	Payments	Options	Shares
ATTACHMENT A		Date(s)	Arrangements	Made	Issued	Owned
AKHTAR			Employee		EE Options	
(Employee)	Dr. Kamran	-	Salary	-	Only	None
BALDWIN						
(NASA)	Dr. R.	-	-	-	None	None
BONIFACE						
	D. U.A				None	None
(Chaik River Labs)	Dr. H.A.	-	Ad Hoc/	•	None	None
BRADFORD	into		One-time			
(PSU Student)	Dr. Michael	9/13/1994	Only	9/13/1994	None	None
CHANG	minente en experience	3/13/1334	Employee	9/13/1994	EE Options	None
(Employee)	Dr. Zhixiang	<u>-</u>	Salary	_	Only	None
CHEN	Dr. Zilixiang		Galary		EE Options	710110
(EE & Consultant)	Dr. Chun-Ku	6/1/04-5/31/05	\$50/hour	2/7/2005	Only	None
CHEN			Employee		EE Options	
(Employee)	Dr. Xuemin	-	Salary		Only	None
CLARK			, , , , , , , , , , , , , , , , , , , ,		/	
(INP Greifswald)	Dr. H.R.	<u>-</u>	-	-	None	None
					Options granted from 9/9/96 to 8/5/97;	
CONRADS					some options	
(INP Greifswald		8/4/99-9/23/05	2 wire transfers	2/14/00	expired;	Some options
transferred agrmnt	Dre.	•		6/12/01	some options	exercised
to Conrads)	Johannes 💮 🧾		<u> </u>		exercised 9/7/06	9/7/06
CRAIG	Dr. W.Y.	-	-	-	None	None
CRAW-IVANCO						
(Chalk River Labs)	Dr. M.T.	-		-	None	None
DAYALAN			Employee		EE Options	
(Employee)	Dr. Ethirajulu	-	Salary	_	Only	None
DHANDAPANI			Employee		EE Options	
(Employee)	Dr. Bala	-	Salary	-	Only	None
nous		7/30/03-1/31/04	\$35/hour	2/28/02	FF 0	
DONG		2/1/04-7/31/04	\$45/hour	8/20/03	EE Options	
(EE & Consultant)	Dr. Jinquan	11/16/04-5/1/505	\$45hour		Only	None
ECHEZURIA	Aloiondes		Employee		EE Options	None
(Employee) FRALICK	Alejandra	-	Salary	<u> </u>	Only	None
(Semitech)	Dr. G.C.		_	_	None	None
FUELLING	DA A PARA	-	-	-	INOTIC	NONE
(U Nevada)	Stephan	•	_	_	None	None
GAMBERALE	Luca	•		-	None	None
GERNERT	=					
(Thermacore)	Dr. N.J.	<u>-</u>			None	None
					EE & Officer	
					Options Issued	Shares Owned
GOOD	. ,		Employee		Beginning	Beginning
(EE & Officer)	William	-	Salary		9/1/92	1991

LECHIGH U)	NATURAL SERVICES	l. <u> </u>	μ φ∠υ∪	10/12/199/	l exhiien	INOHE
MILLER (Lehigh U)	ide Anticio	_	\$250	6/12/1997	Options granted 9/9/96 to 3/3/97; all options expired	None
(NASA)	Dr. I.	-	-		None	None
MAYO (EE & Consultant) MEYERS	Dr. Robert	6/8/01-8/8/01	\$50/hour	7/23/2001	EE Options Only	None
(Rowan U)	Anthonyak	(contract)	non-material	12/31/2002		None
(EE & Consultant) MARCHESE	Dr. Ying ©ਨ	8/1/03-1/30/04 6/10/02-10/31/02	\$45/hour \$10		Only	None
LU	Dr. Vina	8/6/01 5/9/03-5/16/03 5/27/03-5/30/03 5/30/03-6/30/03 7/1/03-7/31/03	\$100/hour \$80/hour \$80/hour \$45/hour \$45/hour	10/01/01 5/23/03	EE Options	None
(U of Ohio)	Dr. Jang-Jung		-		None	None
KURTZ (PSU) LEE	Da Stevano	-	-	-	Options granted 9/9/96 to 11/8/02; some expired	None
(Moscow Power Engineering Institute)	Dr. A.P.	-	-	-	None	None
KNEIZYS KRYUKOV	S.	-	-	-	None	None
JANSSON (Rowan U)	Dr. Peter M.	6/10/02-10/31/02 (contract)	\$100 \$10	10/17/97 12/31/2002	None	Purchased shares 7/2/97; gifted to ex-wife and son 5/1/07
JACOX (INEL)	Dr. Moreel	_	. -	-	9/9/96 to 3/3/97; all options expired	None
SELER (MIT Lincoln Labs)	Dr. G.W.	<u>•</u>	-	-	None Options granted	None
HILBORN (Chalk River Labs)	Dr. J.W.	· · · · · · · · · · · · · · · · · · ·	-	-	None	None
(Employee)	Steven	-	Salary	-	Only	None
(Employee) HICKS	Dr. Jiliang	-	Salary Employee	-	Only EE Options	None
HALDEMAN (MIT Lincoln Labs) HE	Dr. C.W.	<u>.</u> -	- Employee	<u>.</u>	None EE Options	None
GREENIG EE & Consultant)	Nelson	3/8/04-3/7/05 3/8/05-3/7/06 3/8/06-3/7/07 3/8/07-3/7/08	\$50/hour \$50/hour \$50/hour \$50/hour	10/14/02	EE Options Only	None
		11/1/01-10/31/02 11/1/02-10/31/03	\$50/hour \$50/hour	7/19/95 4/27/01 10/14/02		

·	Į.				EE & Director	
					Options Issued	Shares Owned
MILLS	_		Employee		Beginning	Beginning
EE & Director)	Dr. Randell	- 2/20/05 2/20/20	Salary	-	9/9/96	1991
IANSTEEL		3/30/05-3/29/06	\$80/hour	0/04/2005	CC Ontions	
	Con Company	3/30/06-3/29/07	\$80/hour	8/24/2005	EE Options	M
EE & Consultant)	Dr. MenkW	3/30/07-8/31/08	\$80/hour		Only	None
Moscow Power						
ingineering						
	Dr. S.B.	-	•	•	None	None
IEDRA						
	Dr. J.	-	<u>-</u>		None	None
ONINSKI						
	Dr. V.	•	-	-	None	None
NUMA			Employee		EE Options	
Employee)	Dr. Takeyoshi		Salary	-	Only	None
						Purchased shares
						9/20/96 &
]	Options granted	7/2/97; transferred all
					4/7/97	shares
		:]	to 4/27/98;	to ScottishPower
	1				some options	Ventures, Inc.
ACIFICORP					expired	6/21/07
PETERSON		•	-	-	expired	0/2 1/01
	Dr. S.H.				None	None
Westinghouse)	υг. э.п.	2/1/96-1/31/97	\$100/hour	<u> </u>	None	None
		1/1/97-4/30/97	Options Only			
		3/15/00-3/18/00	\$5,000	4/26/95		
				5/9/00		
		10/8/01-4/8/02	\$125/hour	0,0,00		
		4/8/02-10/8/02	\$125/hour			
		10/9/02-10/8/03	\$125/hour			
		10/9/03-10/8/04	\$100/hour		Options granted	_
		10/9/04-10/8/05	\$100/hour		9/9/96-1/14/06;	Some options
PHILLIPS		10/9/05-10/8/06	\$100/hour		some options	exercised
PSU,UNM,LANL)	Dr. Jonathan	10/9/06-10/807	\$100/hour		exercised	9/1/06 & 2/20/07
RAY			Employee		EE Options	
(Employee)	Dr. Paresh	-	Salary	-	Only	None
SANKAR	Dr.	3/17/03-3/16/04	\$50/hour		EE Options	
EE & Consultant)	Jayasiee	3/22/04-3/21/05	\$50/hour	-	Only	None
SAVOYE						
MIT Lincoln Labs)	Dr. G.W.	-	-	-	None	None
		1/5/05-1/4/06	\$800/day			
SCHARER	. I	1/5/06-1/4/07	\$100/hour			
U Wisconsin)	Dr. John	1/5/07-1/4/08	\$110/hour	11/14/2005	None	None
SCHMALZEL	Dr.	6/10/02-10/31/02	\$10			
Rowan U)	rayin (P	(contract)	non-material	12/31/2002		None
HANNON			Employee		EE Options	
Employee)	Tina	-	Salary		Only	None
SHAUBACH		<u> </u>				
Thermacore)	Dr. R.M.	<u>-</u>		-	None	None
SIMMONS						
(Lehigh U)	Dr. G.	<u>-</u>	-	-	None	None
	1			I		
TREMBLAY			1	1		

TURNER						
(Spectral Data)	Dr. Gary L.	-		-	None	None
VOIGT	Dr.				EE Options	
(EE & Consultant)	Andreas	8/27/04-8/26/05	\$50/hour	_	Only	None
WATTS						
(INEL)	Dr. K.D.	-	-	-	None	None
WEISMANN	Dr. H.	-	-	-	None	None
WIESMANN	Dr. H.	•	-	-	•	-
WRUBEL		* * * * * *				
(INP Greifswald)	Dr. Th.	-	-	-	None	None
ZEA			Employee		EE Options	
(Employee)	Dr. Hugo	•	Salary	-	Only	None

.

.

ATTACHMENT B

MASTER LIST OF CITED AND SUBMITTED DOCUMENTS

U.S. Patent Document		Name of Patentee of	Date of Publication of Cited Document
Code ^w	Kind	Applicant of Cited Document	MM-DD-YYYY
Number	(if known		
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Letter from Senator Jon S. Corzine to The Honorable Todd Q. Dickinson with attachments, August 2, 2001 (see Attachment O)

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Letter from Senators Max Cleland and Ron Wyden to Chairman Patrick Leahy, December 20, 2001 (see Attachment O)

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Substitute	for form 1449A/PTO			Compi	ete ii Kilowii
				Application Number	09/362,693
IN	FORMATION	DI	SCLOSURE	Filing Date	07/29/99
ST	STATEMENT BY APPLICANT		First Named Inventor	Mills	
			Group Art Unit	1754	
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Sheet	1	of	3	Attorney Docket Number	62-226-9A2

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		Number	Kind Code* (if known)		MM-DD-YYYY	Passages or Relevant Figures Appear
		3,253,884		Jung et al	05-31-1966	
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		R. Mills, P. Ray, J. Dong, M. Nansteel, W. Good, P. Jansson, B. Dhandapani, J. He, "Excessive Balmer Line Broadening, Power Balance, and Novel Hydride Ion Product of Plasma Formed from Incandescently Heated Hydrogen Gas with Certain Catalysts", Int. J. Hydrogen Energy, submitted	
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